

## A: compulsory jurisdiction – provisional levy

This is expected to form part of a separate consultation by the FSA in January 2006

industry block	description	tariff basis	proposed gross tariff rate	2005/06 tariff rate	proposed minimum levy per firm £	proposed gross total	2005/06 gross total	proposed contributions by block %	contribution by block % 2005/06
1	deposit acceptors, mortgage lenders and administrators (excluding firms in block 14)	per relevant account	0.0059	0.0042	100	£1,579,296	£1,115,111	10.0%	8.7%
2	firms that undertake insurance activities subject to prudential regulation only (excluding firms in blocks 13 & 15)	per £1000 of relevant annual gross premium income	0.055	0.052	100	£1,403,530	£1,231,183	8.9%	9.6%
3	Society of Lloyd's		n/a	n/a	n/a	£28,000	£28,138	0.2%	0.2%
4	firms that undertake insurance activities subject to both prudential and conduct of business regulation (long-term life insurers) (excluding firms in block 15)	per £1000 of relevant adjusted annual gross premium income	0.135	0.12	100	£5,596,540	£4,620,518	35.5%	36.1%
5	fund managers (including those holding client money/assets and not holding client money/assets)	per £1000 relevant funds under management	0.0007	0.001	100	£495,325	£542,139	3.1%	4.2%
6	operators, trustees & depositaries of collective investment schemes	flat fee	0	0	75	£36,225	£30,300	0.2%	0.2%
7	dealers as principal	flat fee	0	0	50	£25,500	£12,400	0.1%	0.1%
8	advisory arrangers, dealers or brokers holding and controlling client money and/or assets	per relevant approved person	150	115	150	£3,982,800	£3,008,985	25.3%	23.5%
9	advisory arrangers, dealers or brokers not holding and controlling client money and/or assets	per relevant approved person	35	30	50	£1,267,300	£1,117,760	8.0%	8.7%
10	corporate finance advisers	flat fee	0	0	50	£50,500	£28,450	0.3%	0.2%
13	cash plan health providers	flat fee	0	0	50	£850	£850	0.0%	0.0%
14	credit unions	flat fee	0	0	50	£28,100	£27,400	0.2%	0.2%
15	friendly societies whose tax-exempt business represents 95% or more of their total relevant business	flat fee	0	0	50	£7,200	£3,800	0.0%	0.0%
16	mortgage lenders, advisers and arrangers	flat fee	0	0	50	£365,600	£350,000	2.3%	3.2%
17	general insurance mediation	flat fee	0	0	50	£937,300	£650,000	5.9%	5.1%
	total – all blocks					£15,804,066	£12,767,034		